

06/25/01

J1051 PTO

## UTILITY PATENT

## APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))Attorney  
Docket No.

971480A

Total  
Pages

First Named Inventor or Application Identifier

Yasushi KANEKO; Masaaki MATSUNAGA

Express Mail Label No.

Check Box, if applicable [ ] Duplicate

APPLICATION ELEMENTS FOR:

LIQUID CRYSTAL SHUTTER AND METHOD OF  
DRIVING THE SAMEADDRESS TO: Director of Patents and Trademarks  
BOX PATENT APPLICATIONS  
Washington, D.C. 202311. [XX] Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. [XX] Specification Total Pages [32]

3. [XX] Drawing(s) (35 USC 113) Total Sheets [7]

4. [XX] Oath or Declaration Total Pages [2]

[ ] Newly executed (original or copy)

[XX] Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed).

i. [ ] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. [XX] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under box 4b, is considered as being part of the disclosure of the accompanying  
application and is incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [ ] Computer Readable Copy

b. [ ] Paper Copy (identical to computer copy)

c. [ ] Statement Verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. [ ] Assignment Papers to CITIZEN WATCH CO., LTD. in the prior application Serial No.  
08/981,654 filed on January 8, 1998 has been recorded at Reel 8963, Frame 0271 on  
January 8, 1998.

PLEASE PUBLISH THE ASSIGNEE DATA W/ THE APPLICATION.

9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [XX] Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☒ PTO-1449 Form

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

a. Priority of Japanese application no's. 8-115897 filed on May 10, 1996 is claimed under 35 USC 119. The  
certified copies/copy have/has been filed in international Application No. PCT/JP97/01564.  
(For Continuing Applications, if applicable).

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 08/981,654.

a. ☒ Please amend the specification by inserting after the title: This application is a division  
of prior application Serial No. 08/981,654 filed January 8, 1998, which is a national stage  
application under §371 of international application PCT/JP97/01564 filed May 9, 1997.

b. ☒ Cancel in the application original claims 1-3 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	15 - 20	0	x \$18.00	
Independent Claims	6 - 3	3	x \$80.00	240.00
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				950.00
Reduction by ½ for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				950.00

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☒ A check in the amount of \$ 850.00 is enclosed to cover the filing fee of \$ 710.00 and additional claim fee of \$ 240.00.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name:

William F. Westerman

Reg. No. 29,988

Signature

Date: June 25, 2001

WEW/klh

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